



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Richard J. Greff  
SERIAL NO.: 10/068,812  
FILING DATE: 2/4/02  
TITLE: CROSS-LINKED GELATIN COMPOSITION COMPRISING A  
WETTING AGENT  
EXAMINER: Ghali, Isis D  
ART UNIT: 1615

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class  
Mail in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria,  
VA 22313-1450, on the date printed below:

Date: June 30, 2004

Name: Ruth Rodriguez

Ruth Rodriguez

COMMISSIONER FOR PATENTS  
P.O. Box 1450, ALEXANDRIA, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached (unless the blanket waiver referred to below applies), may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to review, consider and document each such item in the official record of this application.

Note: If this box ☐ is checked, this case was filed after June 30, 2003 and qualifies for the blanket waiver of deposit of copies of U.S. Patents and U.S. Patent Application

Publications in accordance with the written waiver of 37 CFR §1.98 (a)(2)(i) dated July 11, 2003. Accordingly, such copies are not attached.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

## I

This statement is filed pursuant to **(CHECK ONE BOX)**:



**37 C.F.R. § 1.97(b).**

This information disclosure statement is filed either:

- (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d);
- (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
- (3) before the mailing date of a first office action on the merits; **or**
- (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114, **whichever event occurs last.**

**Accordingly, this information disclosure statement requires no fee and no certification.**



**37 C.F.R. § 1.97(c).**

This information disclosure statement is filed **after** the period specified in 37 C.F.R. § 1.97(b), but **before** the mailing date of any of the following:

- (1) a final action under 37 C.F.R. § 1.113;
- (2) a notice of allowance under 37 C.F.R. § 1.311; **or**
- (3) an action that otherwise closes prosecution in the application.

**Accordingly, this information disclosure statement requires either:**

- (1) the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c); **or**
- (2) a certification according to 37 C.F.R. § 1.97 (e)(1) or (2).



**37 C.F.R. § 1.97(d).**

This information disclosure statement is filed **after** the period specified in 37 C.F.R. § 1.97 (c).

**Accordingly, this information disclosure statement requires:**

- (1) a certification in accordance with 37 C.F.R. § 1.97(e); **and**
- (2) the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. **To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.**

## II

### Fees Due (CHECK ONE BOX):

☒

No fee is due.

☐

The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) or 37 C.F.R. § 1.97(d) is enclosed (\$180).

## III

### Certification (CHECK ONE BOX):

☒

No certification is necessary.

☐

Pursuant to 37 C.F.R. § 1.97(e)(1), the undersigned hereby certifies:

That each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

☐

Pursuant to 37 C.F.R. § 1.97(e)(2), the undersigned hereby certifies:

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

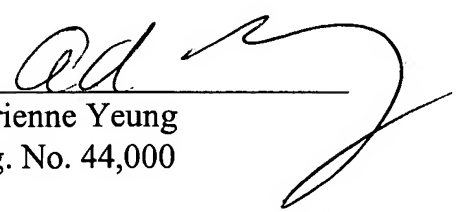
IV

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

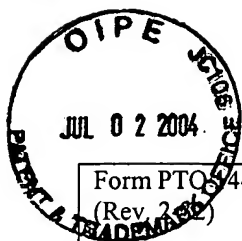
**Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698.**

Respectfully submitted,  
THELEN REID & PRIEST LLP

Dated: June 30, 2004

  
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## SUB-Q 1449 US

Form PTO 1449 (Rev. 2-1997)		U.S. Department of Commerce Patent and Trademark Offices		Atty. Docket No. 034298-122		Serial No. 10/068,812	
<b>Information Disclosure Statement by Applicant</b>				Applicant: Richard J. Greff			
(Use several sheets if necessary)				Filed: 2/4/02		Group: 1615	
<b>U.S. Patent Documents</b>							
Init.		Document No.	Date	Name	Class	Subclass	Date
		3,358,689		John L. Higgins			12/19/67
		3,411,505		P.D. Nobis			11/19/68
		3,736,939		Glenn N. Taylor			6/5/73
		4,098,728		Solomon Rosenblatt			7/4/78
		4,219,026		Terry N. Layton			8/80
		4,224,945		Johathan Cohen			
		4,405,314		Constantin Copi			9/20/83
		4,699,616		George M. Norwak			10/13/87
		4,832,688		Sagae, et al			5/23/89
		4,836,204		Landymore et al			6/89
		4,839,204		Yoshino			6/13/89
		5,061,274		Kensey			10/91
		5,049,138		Chevalier et al			9/17/91
		5,129,889		John L. Hahn			7/14/92
		5,192,290		Said Hilal			3/9/93
		5,219,899		Panster et al			6/15/93
		5,232,453		Plass et al			8/3/93
		5,254,105		John Haaga			10/19/93
		5,320,639		James Rudnick			6/14/94
		5,342,388		Sonia Toller			8/30/94
		5,350,399		Erlebacher et al			9/27/94
		5,352,211		William Merskelly			10/4/94
		5,447,502		John Haaga			9/5/95
		5,458,570		James May, Jr			10/17/95
		5,462,194		Keith Barawell			10/95
		5,545,175		Abidin et al			8/13/96
		5,571,168		Connie Toro			11/5/96
		5,601,601		Tal et al			2/11/97
		5,707,393		Kensey et al			1/13/98
		5,931,165		Reich et al			8/3/99
Examiner					Date Considered		
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.							

Form PTO-1449 (Rev. 10-32)				U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. 034298-122		Serial No. 10/068,812	
<b>Information Disclosure Statement by Applicant</b>						Applicant: Richard J. Greff			
(Use several sheets if necessary)						Filed: 2/4/02		Group: 1615	
<b>U.S. Patent Documents</b>									
Init.		Document No.	Date	Name	Class	Subclass	Date		
		5,620,461		Wouter M. Muijs Van De Moer			4/15/97		
		5,674,346		Nikhil K. Kundel			10/7/97		
		6,126,67		Shchervinsky et al			10/3/00		
		6,033,427		Benjamin Lee			3/7/00		
		6,056,768		Cates et al			5/2/00		
		6,066,325		Wallace et al			5/23/00		
		6,090,130		Nash et al			7/18/00		
		6,371,974		Brenneman et al			4/02		
		6,440,151		Cragg et al			8/02		
		6,440,153		Cragg et al			8/02		
		6,477,534		Cragg et al			9/02		
		6,503,222		Julian Lo			1/03		
		6,527,734		Cragg et al			3/03		
		6,540,735		Ashby et al			4/03		
		6,544,236		Cragg et al			4/03		
		6,610,026		Cragg et al			8/03		
Examiner						Date Considered			
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.									



U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.  
034298-122

Serial No.  
10/068,812

### Information Disclosure Statement by Applicant

Applicant: Richard J. Greff

(Use several sheets if necessary)

Filed: 2/4/02

Group: 1615

## U.S. Patent Documents

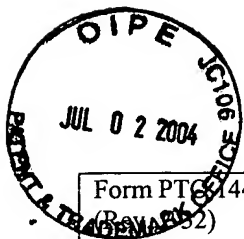
**Examiner**

Date Considered	
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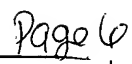
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.







Form PT 449 (Rev. 10-82)		U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 034298-122	Serial No. 10/068,812
<b>Information Disclosure Statement by Applicant</b>			Applicant: Richard J. Greff	
(Use several sheets if necessary)			Filed: 2/4/02	Group: 1615
<b>Foreign Documents</b>				
Translation				
<b>Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)</b>				
		Berman, Howard L., et al, "Guided Direct Antegrade Puncture of the Superficial Femoral Artry," American Roantgen Ray Society, pgs 632-634 (September 1986)		
		Berman et al "Modification of the Cope Drainage Catheter to Facilitate Placement" AJR 146:169-170, 1/86 0361-803X/86/1461-0169 © American Ray Society		
		Vogelzang, Robert L., "A Modified Cope Introducing Dilator to Allow Straight Guide Wire Introduction," American Roantigen Ray Society, pgs 381-382 (February 1986)		
		Ricardo Di Segni et al. "Part 1. Embolotherapy: Agents, Equipment, and Techniques" Vascular Embolotherapy 4		
Examiner		Date Considered		
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.				

SV #172418 v1